

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

36.44

September 17, 1999

Express Mail Label No. EL414495568US

Dear Sir:

09/17/99  
1-835 U.S. PTO  
09/30/98  
09/17/99

Transmitted herewith for filing is the patent application of  
Inventor(s): Leonard Corning Lahey, Robert Curt Nielsen, Dwight Ross Palmer, Adam Alvin Swartz  
**METHOD, SYSTEM, AND PROGRAM FOR PROCESSING A JOB IN AN EVENT DRIVEN  
WORKFLOW ENVIRONMENT**

Enclosed are:

- 25 pages of Application for Patent Including 14 Pages Specification; 1 Page Abstract; and 34 Claims  
☒ 5 No. of Sheets of Drawings Sheet(s) of drawings ( ☒ informal)  
☒ An assignment of the invention to International Business Machines Corporation ( ☐ Will follow.)  
☐ An associate power of attorney.  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.  
☒ Declaration and Power of Attorney. ( ☐ Will follow.)  
☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.  
☐ IDS enclosed. ☐ with references.

## CALCULATION OF FEES

ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	34 - 20* =	14	X \$9 or X \$18	\$252	
B INDEPENDENT CLAIMS FEE**	3 - 3* =	0	X \$39 or X 78	\$0	
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$252
D MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$130 LARGE ENTITY FEE = \$260		\$0
E BASIC FEE*			SMALL ENTITY FEE = \$380 LARGE ENTITY FEE = \$760		\$760
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1012
G ASSIGNMENT RECORDING FEE				\$ 40	\$40
**LIST INDEPENDENT CLAIMS 1, 13, 24					

Please charge my Deposit Account No. 50-0585 in the amount of \$

A copy of this sheet is enclosed.

- ☒ A check in the amount of \$ 1012 to cover the filing fee is enclosed.  
☒ Check for \$ 40 covering the Recordation of Assignment fee enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0585. A copy of this sheet is enclosed.  
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☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

*David W. Victor*  
 David W. Victor  
 Registration No. 39,867

Direct All Correspondence to:  
 David W. Victor  
 KONRAD, RAYNES & VICTOR, LLP  
 1180 S. Beverly Drive; Suite 501  
 Los Angeles, CA 90035

Direct Telephone Calls to:  
 (310) 556-7983

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PATENT  
BO9-99-030

36.44

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Leonard Corning Lahey, Robert Curt  
Nielsen, Dwight Ross Palmer, Adam Alvin Swartz  
Serial No.: --  
Filed: September 17, 1999  
For: **METHOD, SYSTEM, AND  
PROGRAM FOR PROCESSING A JOB  
IN AN EVENT DRIVEN WORKFLOW  
ENVIRONMENT**

Examiner: --  
Art Unit: --

CERTIFICATE OF MAILING

Assistant Commissioner of Patents  
Washington, D.C. 20231

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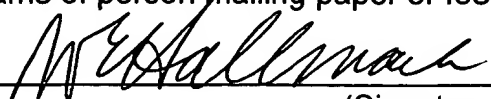
I hereby certify that patent application papers, including specification, claims and 5 sheets of informal drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

September 17, 1999

(Date of Deposit)

Melinda E. Hallmark

(Name of person mailing paper or fee)

  
(Signature)